

ICDR[®]/AAA[®] Fee Schedule for EU-U.S. Privacy Shield and Swiss-U.S. Privacy Shield Program

Costs of the Arbitration

(i) Filing Fees

In cases before a single arbitrator, there are no filing fees for the EU or Swiss Claimant when a claim is filed. A partially refundable fee in the amount of \$1,500 is payable in full by the company, unless the parties' agreement provides that the company pay more. This fee is due from the company once the EU or Swiss Claimant has met the filing requirements.

In cases before three or more arbitrators, there are no filing fees for the EU or Swiss Claimant when a claim is filed. A partially refundable fee in the amount of \$2,000 is payable in full by the company, unless the parties' agreement provides that the company pay more. This fee is due from the company once the EU or Swiss Claimant has met the filing requirements.

There shall be no filing fee charged for a counterclaim.

(ii) Arbitrator's Compensation

Arbitrators serving on a case with an **in-person or telephonic hearing** will receive compensation at a rate of **\$1,500 per day paid by the company.**

Arbitrators serving on a case with a **desk arbitration/documents only hearing** will receive compensation at a rate of **\$750 per case paid by the company.**

(iii) Refund Schedule

Once the claimant has met the filing requirements, refunds to the company will be calculated as follows:

• if the case is settled or withdrawn within 30 calendar days, 50% of the filing fee will be refunded to the company.

However, no refund will be made once an arbitrator has been appointed and no refunds will be made on awarded cases. The date the claimant's filing requirements are met as determined by the ICDR[®] is the date used to calculate any refund of filing fees. If the matter is settled or withdrawn prior to receipt of filing fees from the company, the ICDR/AAA[®] will bill the company in accordance with this refund schedule.



American Arbitration Association®

(iv) Hearing Fees

For each telephonic hearing or in-person hearing held, an additional administrative fee of **\$500 per day of hearing is payable by the company.**

There is no ICDR/AAA hearing fee for the initial Administrative Conference.

(v) Hearing Room Rental

The hearing fees (if applicable) described above do not cover the rental of hearing rooms. The ICDR/AAA maintains rental hearing rooms in most offices for the convenience of the parties. Check with the administrator for availability and rates. Hearing room rental fees will be borne by the company.

(vi) Abeyance Fee

Parties on cases held as inactive for one year will be assessed an annual abeyance fee of \$300. If a party refuses to pay the assessed fee, the opposing party or parties may pay the entire fee on behalf of all parties, otherwise the matter will be administratively closed. All filing requirements, including payment of filing fees, must be met before a matter may be placed in abeyance.

(vii) Expenses

All expenses of the arbitrator, including required travel and other expenses if applicable, and any ICDR/AAA expenses, as well as the costs relating to proof and witnesses produced at the direction of the arbitrator, shall be borne by the company.

PARTIES	DESK ARBITRATION	IN-PERSON OR TELEPHONIC HEARING- SINGLE ARBITRATOR	IN-PERSON OR TELEPHONIC HEARING-THREE OR MORE ARBITRATORS
EU or Swiss Claimant	No Filing Fee	No Filing Fee	No Filing Fee
Company	Filing Fee — \$1,500 Arbitrator Compensation — \$750 per case	Filing Fee — \$1,500 Hearing Fee — \$500 per day of hearing Arbitrator Compensation — \$1,500 per day of hearing	Filing Fee — \$2,000 Hearing Fee — \$500 per day of hearing Arbitrator Compensation — \$1,500 per day of hearing per arbitrator