

# EU-U.S. DPF, UK Extension to the EU-U.S. DPF, and Swiss-U.S. DPF Arbitrator

Lynn A. Goldstein  
Indicium Consulting LLC

**Current Employer-Title** Indicium Consulting LLC - Founder

**Profession** Attorney and Certified Privacy Professional

**Work History** Senior Strategist, Information Accountability Foundation, 2014 – Present; Founder/Principal Consultant, Indicium Consulting LLC, 2016 – Present; Chief Executive Officer and Founder, CDPRsimple, 2018 – Present; Adjunct Professor, Chicago-Kent College of Law, 2023 – Present; Chief Data Officer, Center for Urban Science and Progress, New York University, 2013 – 2015; Privacy General Counsel/Chief Privacy Officer, JPMorgan Chase/Bank One, 2002 – 2013; Card Services General Counsel/Chief Privacy Officer, Bank One, 2001 – 2004 (CPO 2003-2004); Head of Litigation, Bank One/First Chicago NBD/First Chicago, 1988 – 2001; Senior Attorney/Counsel, First Chicago, 1983 – 1988; Litigation Associate, Sonnenschein Carlin Nath & Rosenthal, 1981 – 1983; Law Clerk, Honorable Joel M. Flaum, United States District Court for the Northern District of Illinois, 1979 – 1981; Associate, Cotton Watt Jones King & Bowlus, 1978 – 1979.

**Experience & Expertise in U.S. Privacy Law and EU Data Protection Law and, As Appropriate, Any Other European Data Protection Law** I have been a privacy/data protection professional for over 20 years. Shortly after passage of the U.S. Gramm-Leach Bliley Act, which required financial services firms to provide customers privacy notices and to put in place security practices, Bank One merged with JPMorgan Chase (JPMC), and I became the Chief Privacy Officer (CPO) for the new larger global organization. As CPO, I built JPMC’s global privacy program and shepherded JPMC being one of the first organizations to obtain binding corporate rules (BCRs) and being the first financial institution to obtain BCRs. Given JPMC’s global presence and after retiring from JPMC, I have specialized in global data transfers and responsible data practices.

As a Senior Strategist for the Information Accountability Foundation, the preeminent global information policy think tank that helps frame and advance data protection law and practice through accountability-based information guidance, my work has focused on the development of data protection assessments that help organizations demonstrate they are acting responsibly with respect to personal data, including the data privacy assessments required under the U.S. privacy laws.

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As described respectively in Annex I of the EU-U.S. Data Privacy Framework (“EU-U.S. DPF”) Principles, the Letter from the U.S. Department of Commerce’s International Trade Administration (“ITA”) regarding the UK Extension to the EU-U.S. DPF, and Annex I of the Swiss-U.S. Data Privacy Framework (“Swiss-U.S. DPF”) Principles, an EU/EEA, UK (or Gibraltar), or Swiss individual has the option to invoke binding arbitration to determine whether a participating organization has violated its obligations under the DPF Principles as to that individual and whether any such violation remains fully or partially unremedied (“residual claims”).

The International Centre for Dispute Resolution® (“ICDR®”) is the international division of the American Arbitration Association® (“AAA®”) and the administrator for the EU-U.S. DPF Annex I Binding Arbitration Program and the Swiss-U.S. DPF Annex I Binding Arbitration Program. The ICDR-AAA provides arbitrators to parties on cases administered by the ICDR-AAA under the ICDR-AAA® EU-U.S. DPF Annex I Arbitration Rules and the ICDR-AAA® Swiss-U.S. DPF Annex I Arbitration Rules.

All information contained in this resume has been provided directly by the arbitrator in connection with potential selection for arbitrations brought pursuant to Annex I of the DPF Principles. Unless specified otherwise in their biographical information, arbitrators on the ICDR-AAA® EU-U.S. Data Privacy Framework Arbitrators roster or the ICDR-AAA® Swiss-U.S. Data Privacy Framework Arbitrators roster are not members of any other ICDR-AAA® roster of arbitrators.

My consulting practice and GDPRsimple advise small and medium sized businesses on how to implement the GDPR and demonstrate that implementation. My course at Chicago-Kent College of Law uses GDPRsimple to teach the GDPR to law students and lawyers seeking a Privacy Law Certificate.

<b>Experience &amp; Training in Alternative Dispute Resolution</b>	Prior to practicing privacy law, I was the Head of Litigation for The First National Bank of Chicago, First-Chicago NBD, and Bank One. In that role, I tried, appealed, and settled lawsuits, and often the disposition of those lawsuits involved arbitration. I am very familiar with the major arbitration providers.
<b>Professional Licenses</b>	Admitted to the Bar: Illinois, 1978; United States District Court for the Northern District of Illinois, 1978; United States Court of Appeals for the Seventh Circuit, 1979; Supreme Court of the United States, 2008.
<b>Professional Associations</b>	Certified Information Privacy Professional/U.S., 2004 to Present
<b>Education</b>	Chicago-Kent College of Law (JD - 1978); University of Iowa (B.A.- 1970).
<b>Languages</b>	English
<b>Publications, Speeches &amp; Testimony</b>	Recent EDPB Decision on Criteria for GDPR Main Establishment Potentially Puts Use and Benefits of BCRs at Risk; CJEU Case in SCHUFA has Implications Beyond Credit Scoring; New State Privacy Laws Square the Assessment and Controls Circle; US State Privacy Laws will Fundamentally Change the way Businesses Assess Harm; There is Privacy Law Innovation in the United States; Making Data Driven Innovation Work; The Overturning of Roe v. Wade Undermines the Right to Privacy; The Right to Privacy is as Important as the Right to Use Guns; There are Many Reasons to Worry About Data Transfers, but the Austrian DPA Second Google Analytics Decision Should not be one of Them; AI Impact Assessments are Necessary and Additive to Existing Business Processes; AI and the Road to Expansive Impact Assessments; Lesson From the North: The Expectations for Federal Privacy Legislation will Overwhelm the Process; The Movement Towards Demonstrable Accountability - Why it Matters; Transparency Needs a Makeover; A Pivot Back to Accountability; The Incubation of Trust Based Governance Systems Should be Encouraged; The Glacial Movement of Privacy and the Implications to Accountability; More Comprehensive U.S. Privacy Laws are Inevitable - What do we Want Them to be?; Are GDPR Guidelines Becoming so Complex They may Overwhelm Businesses Ability to Meet Them; CJEU Case in SCHUFA Credit Scoring - Policy Analysis; Colorado Data Protection Assessment; Addressing Human Resources Data Flows in Light of European Data Protection Board Recommendations; Bermuda Report on Information Accountability.

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